



# Annual General Meeting 2023

Privacy notice for shareholders  
and their representatives

# Privacy notice for shareholders and their representatives

The information we provide in the following is intended to give you an overview of the processing of your personal data as a Deutsche Bank shareholder (including any representatives you designate) as well as the rights you are entitled to under data protection law.

If you have registered to use our access-protected Shareholder Portal, additional data protection information applies to such use and can be viewed at any time using the Shareholder Portal.

## Who is responsible for data processing and whom can I contact?

The "Controller" responsible for data processing is:

Deutsche Bank Aktiengesellschaft  
Taunusanlage 12  
60325 Frankfurt am Main  
Germany  
Telephone: +49 69 910 10000  
e-mail: deutsche.bank@db.com

You can contact our company Data Protection Officer at:

Deutsche Bank Aktiengesellschaft  
Data Protection Officer  
Taunusanlage 12  
60325 Frankfurt am Main  
Germany  
Telephone: +49 69 910 10000  
e-mail: germany.dpo@db.com

Should you have any questions as a shareholder about data protection and the General Meeting, please call the General Meeting Hotline at 0800 100 4798 (available from within Germany).

## What personal data and data sources do we use?

Shares of Deutsche Bank Aktiengesellschaft are registered shares. § 67 Stock Corporation Act requires that registered shares be entered into the company's share register stating the name, date of birth and address (postal and electronic address) of the shareholder as well as the number of shares held. The shareholder is generally required to provide this information to the company.

The credit institutions involved in the acquisition or custody of your registered shares of Deutsche Bank Aktiengesellschaft regularly forward to us the information relevant for the administration of the share register. This is carried out by Clearstream Banking Frankfurt, which performs the technical settlement of securities transactions and the custody of shares on behalf of companies as the central securities depository.

The personal data provided by the shareholder is used exclusively to update our share register based on such information, and information regarding the shareholder and/or the shareholder's representative is used to properly conduct the General Meeting.

We use the data collected during the General Meeting to create lists of participants and to document the voting results.

## Why do we process your data and on what legal basis do we do so?

We process your personal data in compliance with the EU General Data Protection Regulation, the Stock Corporation Act and all other relevant legal provisions, including the German Federal Data Protection Act. The

key provisions here are § 67ff. Stock Corporation Act, § 123 (2) Stock Corporation Act in conjunction with § 17 (1) and (2) of our Articles of Association and § 129 (1) sentence 2 and 3 Stock Corporation Act in conjunction with Article 6 (1) letter c) General Data Protection Regulation.

If you mandate us to provide services, we use data to perform our contractual obligations (Article 6 (1) letter b) General Data Protection Regulation).

In addition, where necessary we process personal data to meet additional statutory requirements such as regulatory requirements and record retention obligations in conjunction with Article 6 (1) letter c) General Data Protection Regulation.

In individual cases, we also process your personal data to safeguard our legitimate interests pursuant to Article 6 (1) letter f) General Data Protection Regulation.

Should we wish to process your personal data for purposes other than those specified above, we will involve you in this decision pursuant to the statutory provisions.

The purpose of the data processing is the administration and technical management of the share register as well as the preparation, execution and post-processing of the General Meeting. This data processing does not involve fully automated decision-making as defined by Article 22 General Data Protection Regulation.

We only make use of the data shareholders provide about a person authorized to exercise their shareholder rights to conduct the General Meeting.

### **Which categories of recipients do we disclose your data to and who receives access?**

We use external service providers for the administration and technical management of the share register and the execution of the General Meeting. These service providers are engaged as data processors as defined by Article 28 General Data Protection Regulation and process your personal data exclusively based on the instructions of Deutsche Bank Aktiengesellschaft.

In addition, we may send your personal data to other recipients, such as to the regulatory authorities, in order to meet statutory reporting obligations (e.g. to the Federal Financial Supervisory Authority when a voting right threshold subject to reporting is exceeded).

If you, as a shareholder or his authorized representative, electronically connect to the virtual General Meeting during the period of the General Meeting using the access-protected Shareholder Portal, other shareholders of the company, members of the Management Board and Supervisory Board, the Chair of the General Meeting, the Notary Public, advisors and, where applicable, auditors may, pursuant to § 129 (4) Stock Corporation Act, view the personal data of the shareholder and, where applicable, the personal data of the authorized representative included in the list of participants. If you make use of the opportunity to speak at the General Meeting, you are from a legal perspective a participant of the General Meeting and will be entered as such in the list of participants. The persons specified above may then also view your personal data in the list of participants.

Within Deutsche Bank Aktiengesellschaft, the people who are able to access your data are those who need to do so in order to meet our obligations to you.

### **How long do we store your data?**

We generally delete your personal data once it is no longer needed for the purposes specified above, provided we are not required to retain such data for a longer period based on statutory obligations to produce documentary evidence or retain records (e.g. pursuant to the Stock Corporation Act, Commercial Code, Tax Code, Securities Trading Act or German Banking Act). The data collected in relation to the General Meeting is usually stored for a period of three years. The standard retention period for data stored in the share register is ten years after the shares are sold.

## What are your rights as a shareholder / representative?

According to

- Article 15 General Data Protection Regulation, you have the right to information (according to § 67 (6) sentence 1 Stock Corporation Act, each shareholder may demand information relating to him/her that is entered in the share register).
- Article 16 General Data Protection Regulation, you have the right to have incorrect data rectified.
- Article 17 General Data Protection Regulation, you have the right to have your data erased, provided there is no legal basis for its continued storage.
- Article 18 General Data Protection Regulation, you have the right to request a restriction of the processing of your personal data. This means that, although your data will continue to be stored, it may only be processed further under restricted conditions.
- Article 20 General Data Protection Regulation, you have the right to data portability with respect to any data you have provided us. In this case, we will provide you with the data in a structured, commonly used and machine-readable format.
- Article 21 General Data Protection Regulation, you have the right to object to the processing of your data if your particular situation justifies this.

## Do you want to exercise your right to file a complaint?

You have the option to contact the Data Protection Officer of Deutsche Bank Aktiengesellschaft specified above or a data protection supervisory authority if you believe the personal data relating to you is being processed in violation of the General Data Protection Regulation or the Federal Data Protection Act. We are under the jurisdiction of the following data protection supervisory authority:

Der Hessische Beauftragte für Datenschutz und Informationsfreiheit  
Postfach 3163  
65021 Wiesbaden  
Germany  
Telephone: +49 611 1408 0  
e-mail address available from:  
<https://datenschutz.hessen.de/über-uns/kontakt>

